Distinguished Lecture Series Arizona Pavements & Materials Conference September 17, 2024

## Asphalt Mix Design of the Future



Randy C. West

### AAPT

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### **Annual Meeting Technical Sessions**

Celebrating 100 years of excellence and innovation

- Exploring the rich history of the asphalt industry and its evolution over the past century.
- Honoring the Pioneers & Innovators who have shaped the asphalt industry.
- Showcasing advancements in asphalt technology and exploring those on the horizon.
- Networking with industry experts.
- Celebrating 100 years at the Shedd Aquarium.



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**Rebecca McDaniel** President 2012 - 2013

https://www.asphalttechnology.org/

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### **Balanced Mix Design**

Cracking Resistance

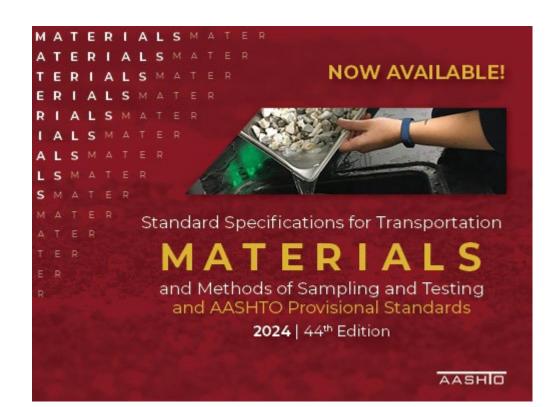


"asphalt mix design using performance tests on appropriately conditioned specimens that address multiple modes of distress taking into consideration mix aging, traffic, climate and location within the pavement structure." Rutting and Moisture Damage Resistance



### AASHTO Standards for BMD (Frameworks)

- TP 105 Standard Practice for Balanced Design of Asphalt Mixtures
  - Four approaches to BMD
  - Agencies chose mix performance tests and mix conditioning procedures
- MP 46 Standard Specification for Balanced Mix Design
  - Agencies set criteria for test results
- R 121 Long-Term Laboratory Conditioning of Asphalt Mixtures
  - Five options for long-term mix conditioning



## The Tenants of Balanced Mix Philosophy

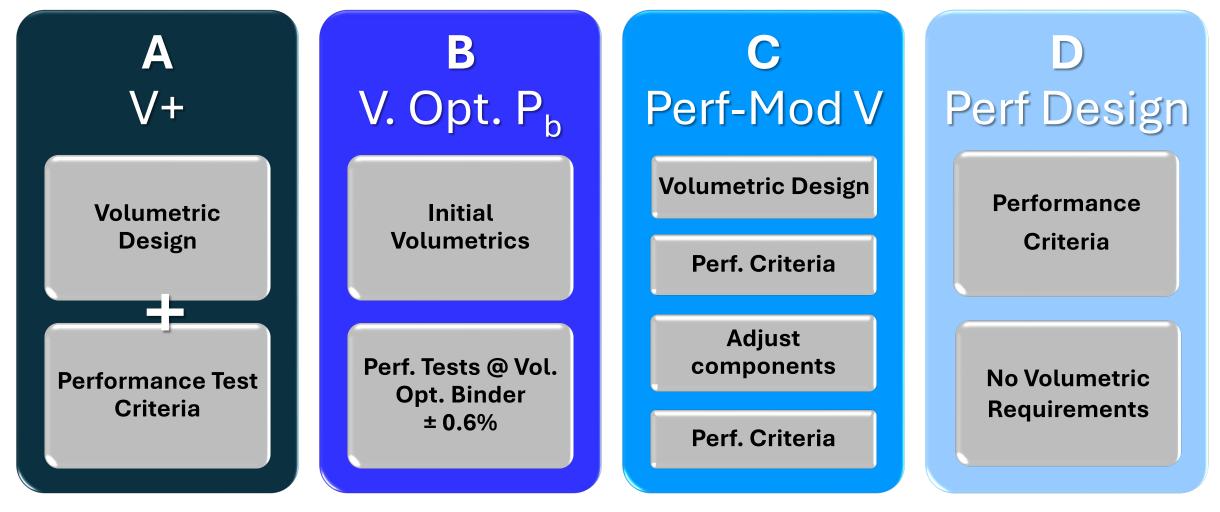
- The Goal is to design, produce, and place an **economical**, *resource-responsible*, **long-life** asphalt pavement.
- PMS data tells us what modes of distress are prevalent in asphalt pavements. We can effectively assess mix resistance to those various distresses with laboratory performance tests.
- There can be a juxtaposition between designing mix resistance to two or more distresses, necessitating a balanced approach.
- The best way to simultaneously encourage innovation and achieve economy is to allow the contractor freedom to choose the materials needed to meet the mix performance criteria.

### Approaches to Balanced Mix Design, PP 105

<ul> <li>Volumetric Design with Performance Verification</li> </ul>
<ul> <li>Volumetric Design with Performance Optimization</li> </ul>
<ul> <li>Performance-Modified Volumetric Design</li> </ul>
<ul> <li>Performance Design</li> </ul>



Standard Practice for Balanced Design of Asphalt Mixtures AASHTO PP 105





For more information, check out this special report



### https://aub.ie/BMDapproaches

Balanced Mix Design, Special Report 228



### BMD APPROACHES

Randy West and Fan Yin

### INTRODUCTION

Balanced Mix Design (BMD) continues to be one of the most talked about topics in the asphalt pavement industry. As the State Departments of Transportation (DOTs) work toward BMD implementation, one of the important early decisions is how to approach BMD for mix design approval. This guide presents the pros and cons of different approaches in AASHTO PP 105 to implement the new BMD performance tests that DOTs should consider in this decision. Other relevant guide documents for implementing BMD specifications and conducting field validation of performance test criteria can be found on the National Asphalt Pavement Association (NAPA's) Balanced Mix Design Resource Guide website.

### WHY CHANGE?

The motives for any change are typically rooted in dissatisfaction with the status quo. Feedback from BMD Peer Exchanges in 2023 (Bittner et al., 2023a; Bittner et al., 2023b; Bittner et al., 2023c) indicates that the most common reasons why state DOTs want to implement BMD include:

- Improving the service lives of asphalt pavements
   Eliminating premature failures of some asphalt pavements
- Reducing the carbon footprint of asphalt pavements
   Optimizing asphalt mixtures for specific applications

Most stakeholders realize that it is not possible to accomplish the above goals by continuing to use existing specifications, mix design practices, and construction methods. Although tweaks to existing Superpave specifications and methods, such as with Superpave 5, regressed air voids, and the corrected optimum asphalt content (COAC) concept, can provide some performance improvements, they do not fix the underlying limitations of a volumetric mix design system.

There are two recognized deficiencies of mix design systems based on volumetric properties: (1) the reliability and accuracy of VMA are challenging because of the difficulties in accurately determining the bulk specific gravity ( $G_{ab}$ ) of aggregates, and (2) there is no way to determine the interaction effects of virgin binders, recycled binders, and other additives such as recycling agents. These issues are further discussed below.

### Concerns regarding VMA

The two primary volumetric properties used in asphalt mix design and OA specifications are air voids (Va) and voids in the mineral aggregate (VMA). Air voids represent the volume of void space within a compacted specimen at a specific compactive effort, which has been related to rutting resistance (Brown and Cross, 1992). VMA is defined as the volume of the intergranular void space between the aggregate particles of a compacted asphalt mixture that includes the air voids and the effective binder content. A minimum VMA is important to ensure a mixture contains an adequate volume of effective asphalt. Although many asphalt technologists know that the minimum VMA criteria were established by Norman McLeod in the late 1950s. some are surprised to know that he provided no mix performance data to support the criteria (Kandhal et al., 1998). Numerous other researchers have also discussed the weakness of VMA as a mix design criterion (Coree & Hislop, 2000).

### **Balanced Mix Design**

Cracking Resistance

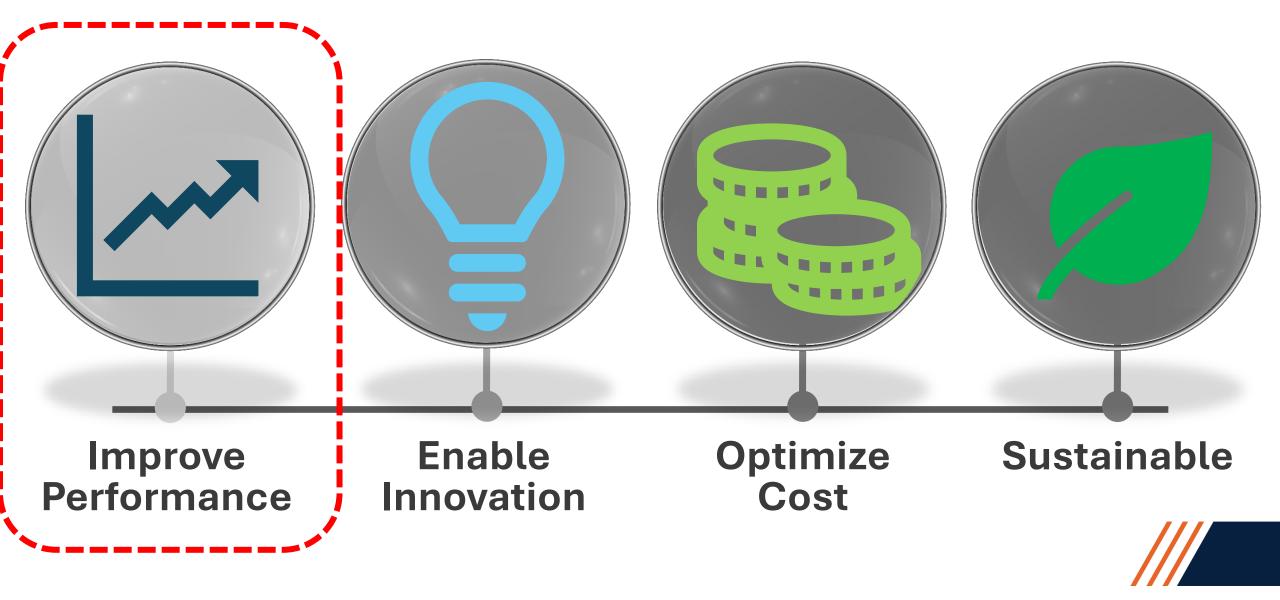


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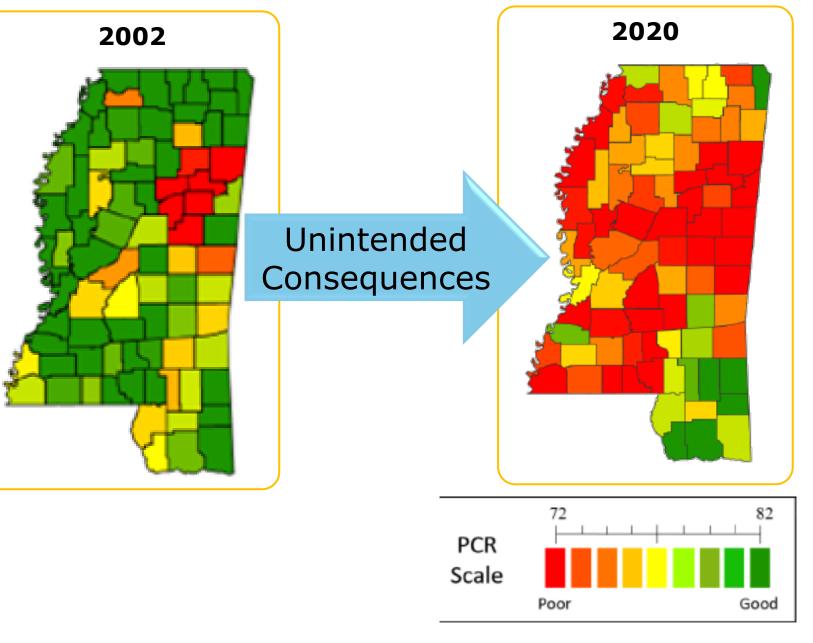
## Anticipated Benefits of BMD





Volumetric-only mix design is not fully capable of dealing with present-day mixes





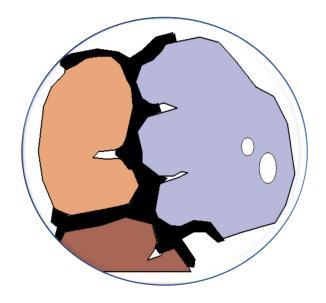
**Pavement Condition Rating** 

### Superpave to BMD: Why Change?

**DOTs and industry** acknowledge that Superpave (Superior Performing Pavements) has not lived up to its promise.

# Superpave: what are the limitations?

• The key mix properties in Superpave are air voids ( $V_a$ ) and volume of effective binder ( $V_{be}$ )



 Volumetric properties do not tell us anything about the **quality** of the binder or about the interactions of different binder components and additives



### Superpave: What are the limitations?

The volume of effective binder  $(V_{be})$  is dependent on the aggregate bulk specific gravity  $(G_{sb})$ , which is not a reliable property

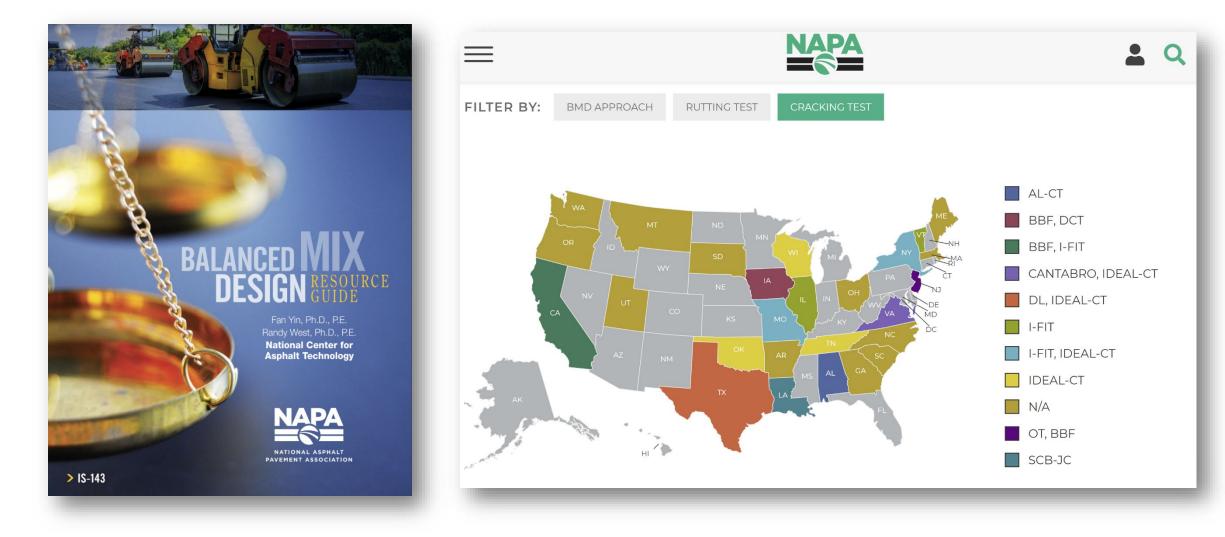
- G<sub>sb</sub> is subject to change over time but not often verified
- G<sub>sb</sub> has a low level of precision
- G<sub>sb</sub> of RAP aggregate is questionable

AASHTO / ASTM	Acceptable Range of Two Results (d2s) Bulk specific gravity (SSD)		
Precision	Coarse T85/C127	Fine T84/C128	
Single-operator	0.020	0.027	
Multi-laboratory	0.032	0.056	

Example Effect of G<sub>sb</sub> Impact on VMA

	<i>P<sub>b</sub></i> = 5.2%	<i>P<sub>b</sub></i> = 5.2%
Given	<i>G<sub>mm</sub></i> = 2.531	<i>G<sub>mm</sub></i> = 2.531
	<i>G<sub>mb</sub></i> = 2.431	<i>G<sub>mb</sub></i> = 2.431
	$G_{sb} = 2.640$	<i>G<sub>sb</sub></i> = 2.670
Calculated	Air Voids = 4.0%	Air Voids = 4.0%
	VMA = 12.7%	VMA = 1 <mark>3</mark> .7%
	VFA = 69%	VFA = 71%



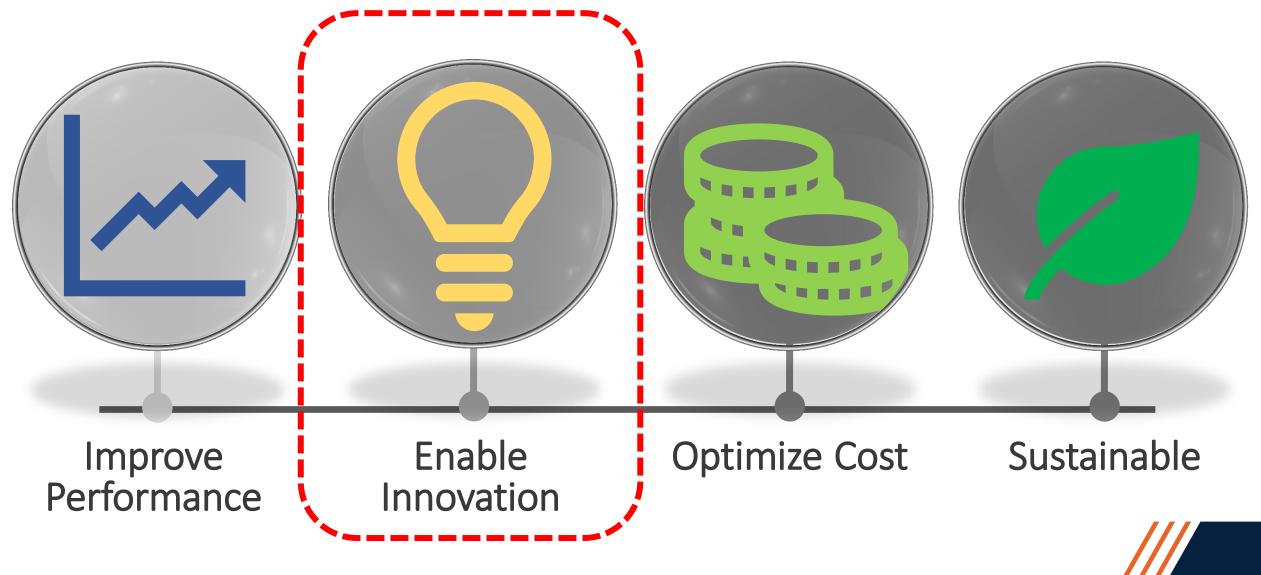


### **BMD** Resources

Scan this code or visit aub.ie/bmd for useful resources related to balanced mix design



## /// Anticipated Benefits of BMD





# With a volumetric mix design approach...

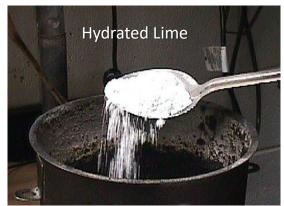














# With a volumetric mix design approach...









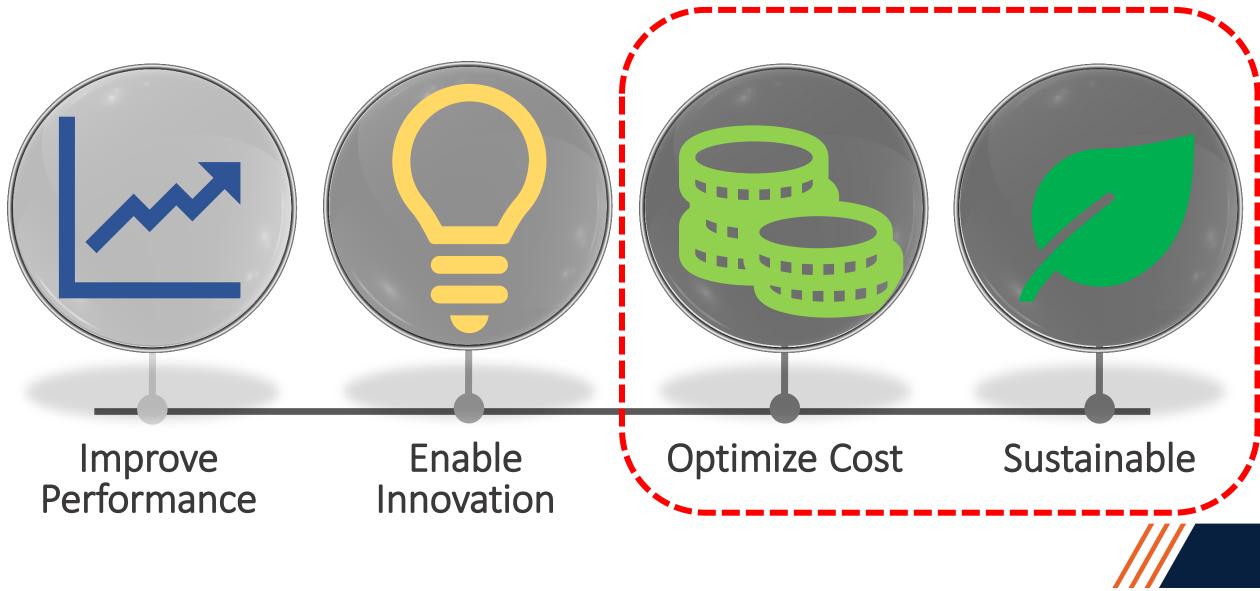








### **Anticipated Benefits of BMD**



National Center for Asphalt Technology

### Engineering, Environmental, & Economic Benefits of BMD, Gase Studies from the NCATTest Track



- 1.7-mile Test Track
  46 Test Sections, 200 ft. each
  5 trucks each pulling 3 heavily loaded trailers make 400 laps/day
  Test sections are evaluated continuously over 3-year cycles
- 2024 begins our 9<sup>th</sup> cycle

# TxDOT BMD Experiment at the NCAT Test Track

- Field performance comparison of asphalt mixes designed with volumetric vs. BMD approaches
  - 2.5 in. mill-and-inlay
  - Underlying pavement 15-20% lane area cracking

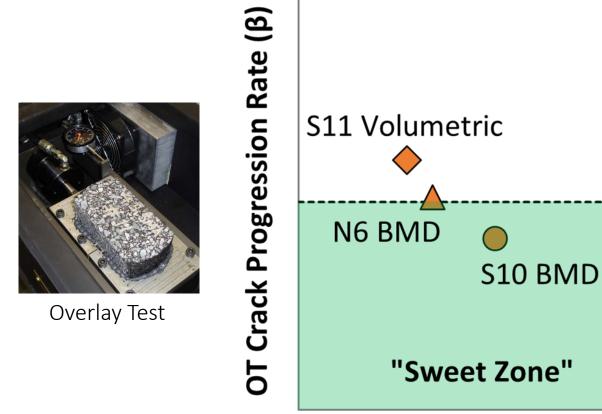


### **TxDOT BMD Experiment Mix Designs**

- TxDOT 12.5mm SP-C surface mix "volumetric"
- PG 70-22 SBS binder in all three test sections
- BMD approach A: Volumetric Design with Performance Verification

Mix Design	S11 Volumetric (2018)	S10 BMD (2018)	N6 BMD (2021)
Total Binder Content	4.7	5.5	5.3
RAP Binder Replacement	20	20	19
Air Voids (50 Gyrations)	4.0	4.0	4.0
VMA*	15.0	16.6	16.4
V <sub>be</sub> *	11.0	12.6	12.4
VFA*	73	76	76

## BMD Performance Diagram (OT vs. HWTT)



### HWTT Rut Depth at 15k Passes (mm)

RD ≤ 12.5

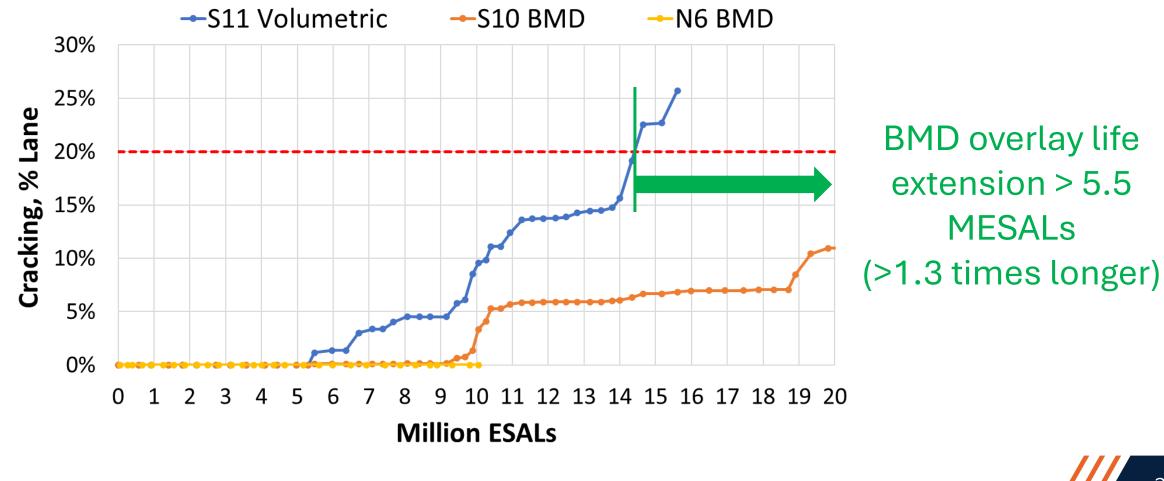


 $\beta \le 0.45$ 

Production (RH)

Hamburg WT

# TxDOT BMD Field Cracking Results



Cracking performance: S10 > S11



## // LCCA for Texas mix comparison

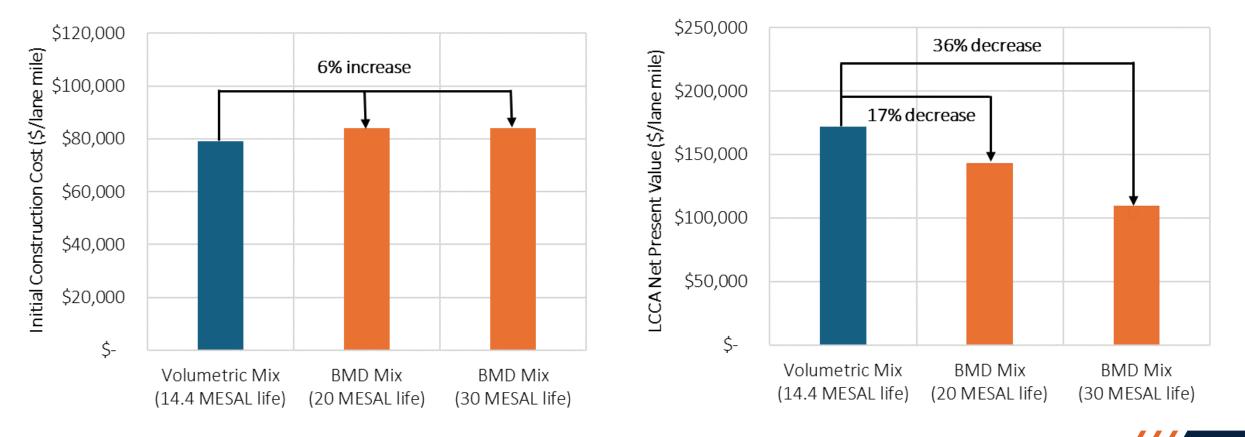
- TxDOT Life Cycle Cost Analysis Policy
  - 40-year Analysis Period
  - Discount rate: 3.72%
  - 12-year performance period for volumetric mix
  - Volumetric mix cost: \$80/ton per TxDOT bid price database
  - BMD mix cost: \$84.8/ton = \$80/ton + 0.64% more virgin PG 70-22 binder × \$750/ton

## M&R Schedule for LCCA and LCA, TxDOT Ex.

Year	Volumetric Mix (14.4 MESAL Life)	BMD Mix (20 MESAL Life)	BMD Mix (30 MESAL Life)
0	Initial construction	Initial construction	Initial construction
12	2.5" mill & fill		
16.6		2.5" mill & fill	
24	2.5" mill & fill		
25			2.5" mill & fill
33.2		2.5" mill & fill	
36	2.5" mill & fill		
40	End of analysis period	End of analysis period	End of analysis period
Remaining Life (yrs.)	8.0	9.8	10.0
			2

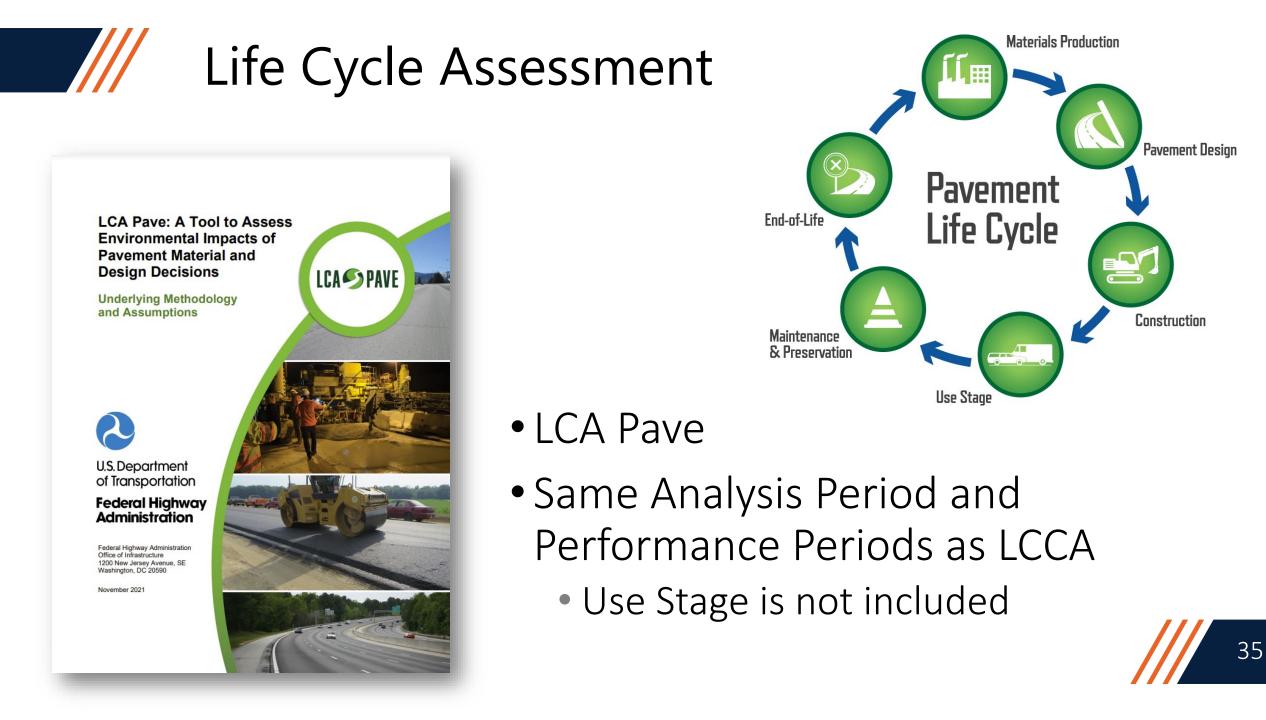


### Initial Construction Cost Comparison



LCCA Net Present Value Comparison

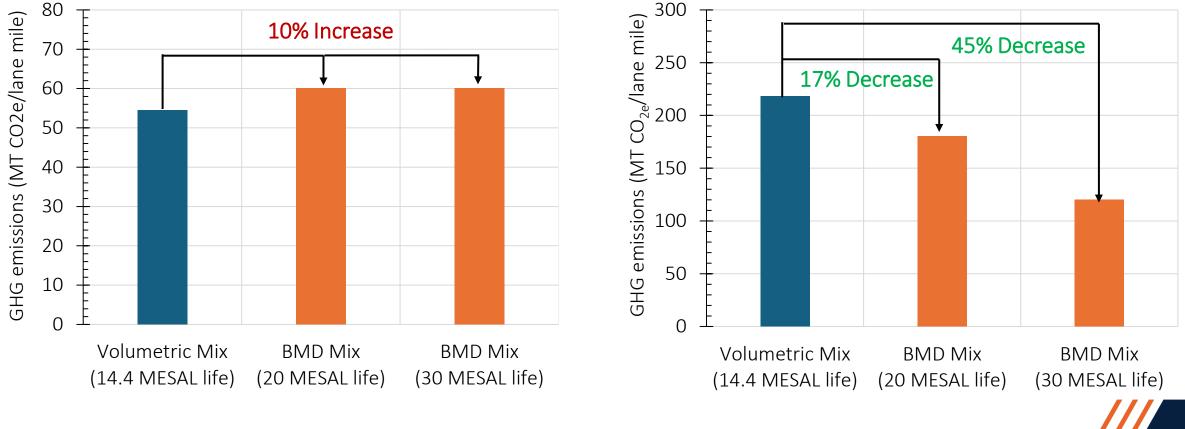
Functional Unit – One Lane mile





**Initial Construction** (Cradle-to-Constructed)

### Full Life Cycle (Cradle-to-Grave)

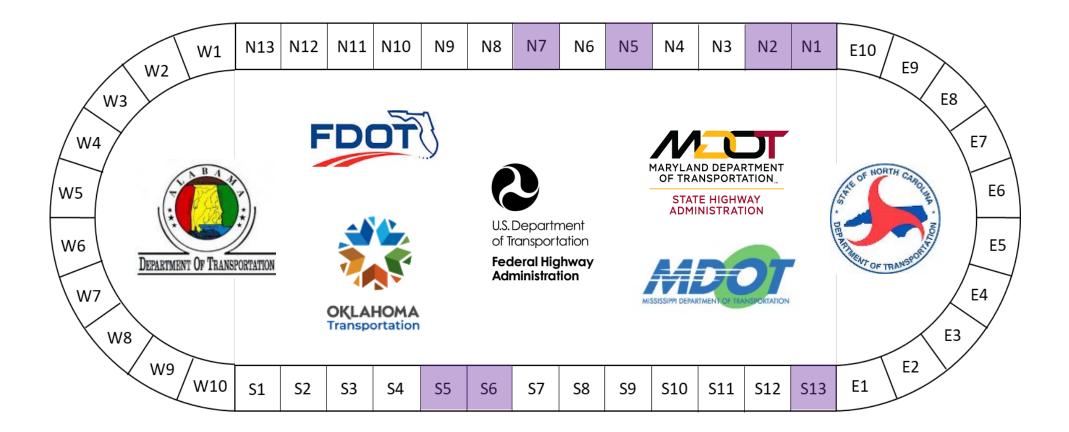


Functional Unit – One Lane mile

### Example #2

- 2015-2021 NCAT Cracking Group Experiment
  - Correlation of BMD Cracking Tests to Field Performance

### 2015-2021 NCAT Cracking Group Experiment



### NCAT Cracking Group Experiment – QC Results

Section	Description	NMAS	Eff. Binder Content (%)	Air Voids (%)	VMA (%)	As-Const. Density (%G <sub>mm</sub> )	Recovered Binder Cont. Grade
N1	20% RAP (Control)	9.5 mm	4.7	3.8	14.7	93.6	88.6 -16.6
S5	35% RAP, PG 67-28	9.5 mm	5.1	3.2	15.1	92.2	82.8 -23.0
S6	Control w HiMA	9.5 mm	5.0	3.1	14.7	91.8	101.4 -21.5

### Cracking Group Test Section Layer Thicknesses

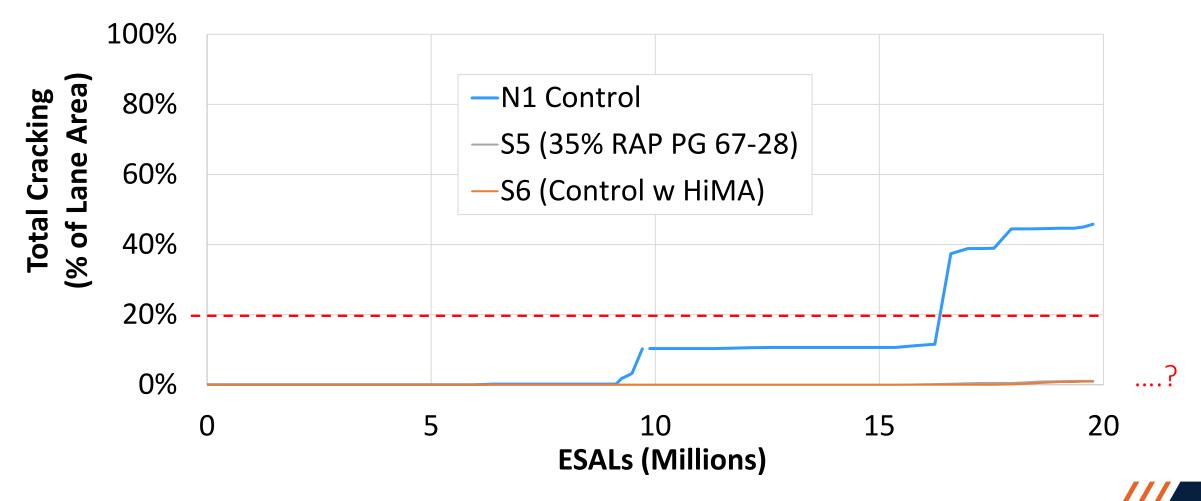


Surface (Experimental) Layer	1.5″
HiMA mix Intermediate Layer	2.25″
HiMA mix Base Layer	2.25″
Granular base	6"
Stiff track subgrade	infinite

### Cracking Group Experiment: BMD Cracking Test Results & Field Performance

			Critically Aged Test Results				% Lane Area Cracking
			Flexibility		NCAT-OT		Feb. 2021
Section	Description	CT <sub>index</sub>	Index	ΟΤ-β	β	$S_{app}$	20 MESALs
N1	20% RAP (Control)	8.8	0.6	2.08	0.50	18.6	44.5
S5	35% RAP PG 67-28	16.3	1.8	1.54	0.33	45.3	1.1
S6	Control w HiMA	18.7	3.8	1.07	0.27	48.0	0.9

### Cracking Group Field Performance



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### LCCA for Cracking Group mix comparison

- NCAT LCCA recommendations for ALDOT
  - 40-year Analysis Period
  - Discount rate: 4.0%
  - Performance Periods
    - Control mix: 1 yr. on TT = 3.5 yrs on I-85 = 11.4 years
    - 35% RAP mix = ratio of NCAT-OT  $\beta$  = 1.51 = 17.2 years
    - HiMA mix = ratio of NCAT-OT  $\beta$  = 1.85 = 21.1 years
  - Mix Costs
    - Volumetric mix: \$70/ton per ALDOT bid price database
    - 35% RAP mix: \$70/ton (PMA binder & RAP savings wash)
    - HiMA mix: \$100/ton (estimate)

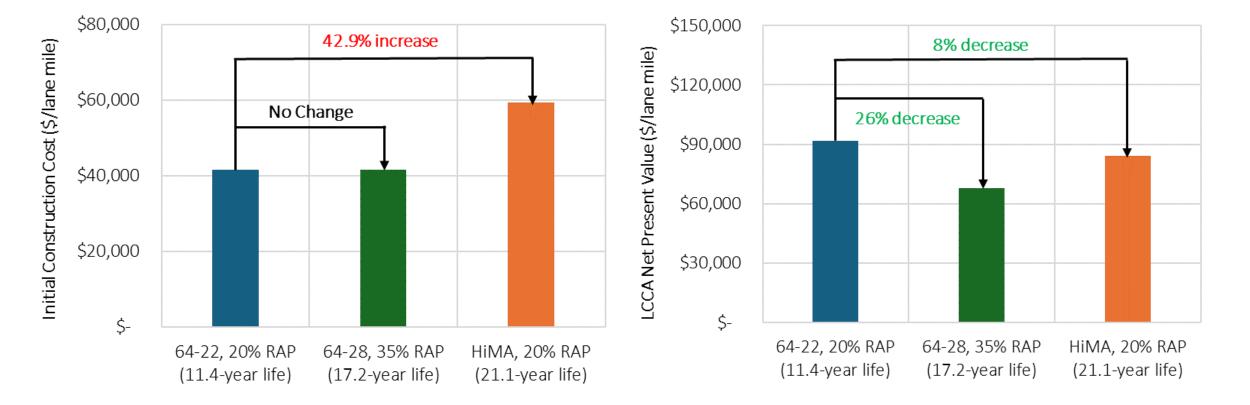
### Cracking Group Assumed LCCA & LCA M&R Schedule

Year	64-22 w/ 20% RAP (11.4 Year Life)	64-28 w/ 35% RAP (17.2 Year Life)	HiMA w/ 20% RAP (21.1 Year Life)
0	Initial construction	Initial construction	Initial construction
11.4	1.5" mill & fill		
17.2		1.5" mill & fill	
21.1			1.5" mill & fill
22.8	1.5" mill & fill		
34.2	1.5" mill & fill		
34.4		1.5" mill & fill	
40	End of analysis period	End of analysis period	End of analysis period
Remaining Life (yrs)	5.6	11.6	2.2



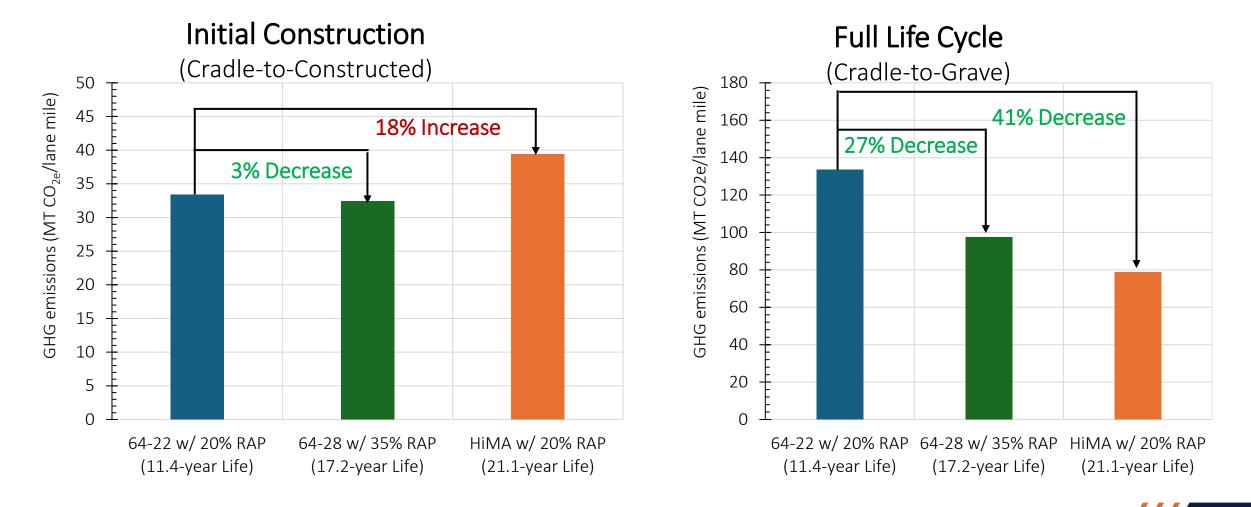
#### Initial Construction Cost Comparison

#### LCCA Net Present Value Comparison



Functional Unit – One Lane mile

## Life Cycle Assessment Results



Functional Unit – One Lane mile



- Our first expectation for BMD should be better field performance and longer pavement lives. The Test Track is giving us a glimpse of that.
- Initial cost of BMD mixes will likely be higher, especially for Approaches A and B.
- LCCA and LCA benefits should show economic and environmental benefits of BMD.



Factors	Superpave	Approach A	Approach B	Approach C	Approach D
Cost BMD	Baseline	$\uparrow$	$\uparrow$	$\uparrow$	$\uparrow$
Time BMD	Baseline	$\uparrow$	$\uparrow$	$\uparrow$	Slight 个
Cost of Mix	Baseline	$\uparrow$	$\uparrow$	Neutral	Slight 🗸
Innovation	None	None	None	$\uparrow$	Yes!
Local Materials	Limits	Negative Impact	Neutral	$\uparrow$	$\uparrow$
Recycled Matls.	Unable to Characterize	Negative Impact	Neutral	$\uparrow$	$\uparrow$
EPD (GWP)	Baseline	↑ GWP	↑ GWP	$\checkmark$	$\checkmark$









Guide for Implementing Balanced Mix Design Specifications



Contains 8 chapters corresponding to the 8 major tasks on the path to BMD implementation

	Task		Description		Duration
			Description	(Month)	(Months)
1	Motivations and Benefits of Performance Specifications			-4	4
		2.1	Identification of Champions	1	1
		2.2	Establishing a Stakeholders Partnership	2	1
		2.3	Doing Your Homework	2	2
2	Overall Planning	2.4	Establishing Goals	3	2
		2.5	Mapping the Tasks	3	3
		2.6	Identifying Available External Technical Information and Support (periodically)	2	
		2.7	Developing an Implementation Timeline	3	3
		3.1	Identifying Primary Modes of Distress.	6	1
3	Selecting Performance Tests	3.2	Identifying and Assessing Performance Test Appropriateness.	7	3
		3.3	Validating the Performance Tests	10	60
	Performance Testing	4.1	Acquiring Equipment	10	1 - 18
	Equipment: Acquiring, Managing Resources, Training, and Evaluating	4.2	Managing Resources	27	2
4		4.3	Conducting Initial Training	28	2
		4.4	Evaluating Performance Tests	28	6
		4.5	Conducting Inter-Laboratory Studies	34	6
		5.1	Reviewing Historical Data & Information Management System	33	1
	Establishing Baseline Data	5.2	Conducting Benchmarking Studies	34	12
5		5.3	Conducting Shadow Projects	34	15
		5.4	Analyzing Production Data	38	12
		5.5	Determining How to Adjust Asphalt Mixtures Containing Local Materials	34	15
	Specifications and Program Development	6.1	Sampling and Testing Plans	46	6
		6.2	Pay Adjustment Factors (If Part of the Goals)	49	3
6		6.3	Developing Pilot Specifications and Policies	46	6
		6.4	Conducting Pilot Projects	52	18
		6.5	Final Analysis and Specification Revisions	67	6
7	Training, Certifications, and	7.1	Developing and/or Updating Training and Certification Programs	73	6
	Accreditations	7.2	Establishing or Updating Laboratory Accreditation Program Requirements	34	3
8	Initial Implementation			80	





Chapter 1. Motivations and Benefits of BMD and Performance Specifications

- **1.1** What are Performance Specifications?
- 1.2 Why Change?
- **1.3** Benefits of Using Balanced Mix Design
- 1.4 The Steps in the Process of Implementing Performance Specifications







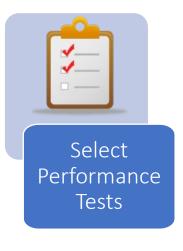
Plan the Process

#### Chapter 2. Overall Planning

- 2.1 Identify Champions
- 2.2 Establish a Stakeholders Partnership
- 2.3 Identify Issues, Resources and Lit. Review
- 2.4 Establish Goals
- 2.5 Map the Tasks
- 2.6 Identify External Information & Support
- 2.7 Develop Implementation Timeline







#### Chapter 3. Selecting the Performance Tests

- 3.1 Identify Primary Modes & Causes of Distress
  3.2 Identify / Assess Performance Tests
  Appropriateness
- 3.3 Validate the Performance Tests

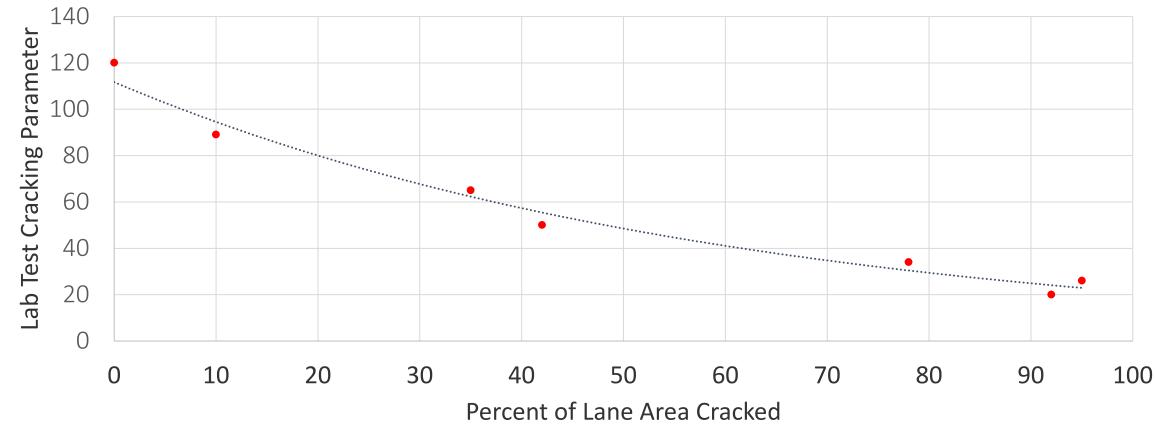


A validation experiment (similar to an LTPP SPS-9 experiment) is the preferred way to establish criteria for the performance tests.



### **BMD** Relationship Confirmation

#### Lab to Field Correlation









Acquire Equipment, Manage Resources Chapter 4. Performance Testing Equipment: Acquiring, Managing Resources, Training & Evaluation

- 4.1 Acquiring Equipment
- 4.2 Managing Resources
- 4.3 Conducting Initial Training
- 4.4 Refining Procedures
- 4.5 Conducting Interlaboratory Studies







#### Chapter 5. Establishing Baseline Data

- 5.1 Reviewing Existing Lab Results & Pavement Management System Data
- 5.2 Conducting Benchmarking Studies
- 5.3 Conducting Shadow Projects
- 5.4 Analyzing Production Data
- 5.5 Determining How to Adjust Mixtures Containing Local Materials



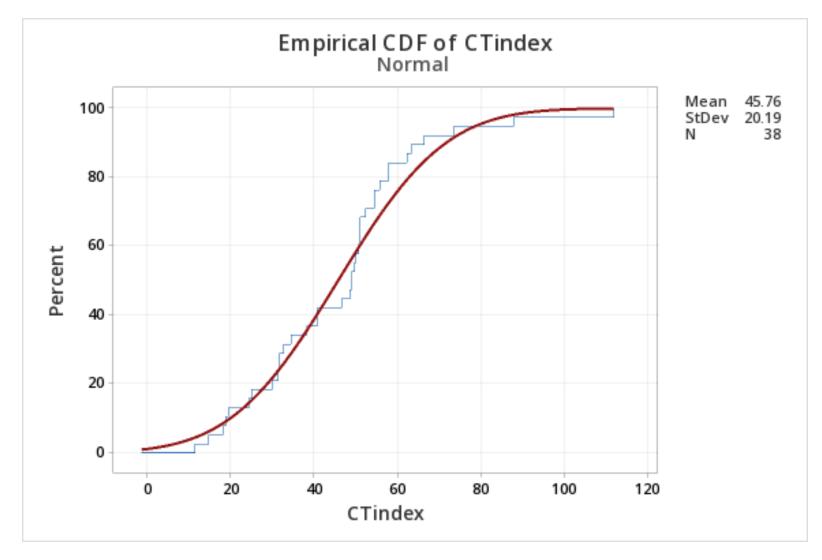
#### Benchmarking

- A database of test results of currently used mixtures
  - Lab produced mixtures  $\rightarrow$  mix design criteria
  - Plant produced mixtures  $\rightarrow$  acceptance criteria
- Analysis
  - Distribution of results for each mix classification
  - Impact of mix factors that impact BMD test results





### Analysis of Benchmarking Data





- A Shadow Project is a project on which additional tests are conducted at a frequency similar to existing AQCs.
- The mixture is produced and accepted based in existing AQCs.
- The goals of Shadow Projects are to:
  - 1. Gather information on typical production variability
  - 2. Add to the benchmarking database
  - 3. Familiarize DOT and contractor personnel with the selected tests







Develop Specs &

Program

Chapter 6. Specifications and Program Development

- 6.1 Sampling and Testing Plans
- 6.2 Developing Pilot Specs and Policies
- 6.3 Conducting Pilot Projects
- 6.4 Final Analysis and Spec Revisions





- Pilot Projects projects on which the mixture is produced and accepted based on new AQCs
- Projects are let as Pilot Projects so that contractors can account for uncertainty in their bids
- Goals of Pilot Projects
  - 1. Expand the number of stakeholders involved in BMD projects
  - 2. Evaluate the preliminary specification and QA program under actual project conditions







Conduct Training & Certifications

## Chapter 7. Training, Qualifications and Accreditations

- 7.1 Developing &/or Updating Training and Certification Programs
- 7.2 Establishing or Updating Lab Accreditation Program Requirements
- 7.3 Establishing a Proficiency Sample Program





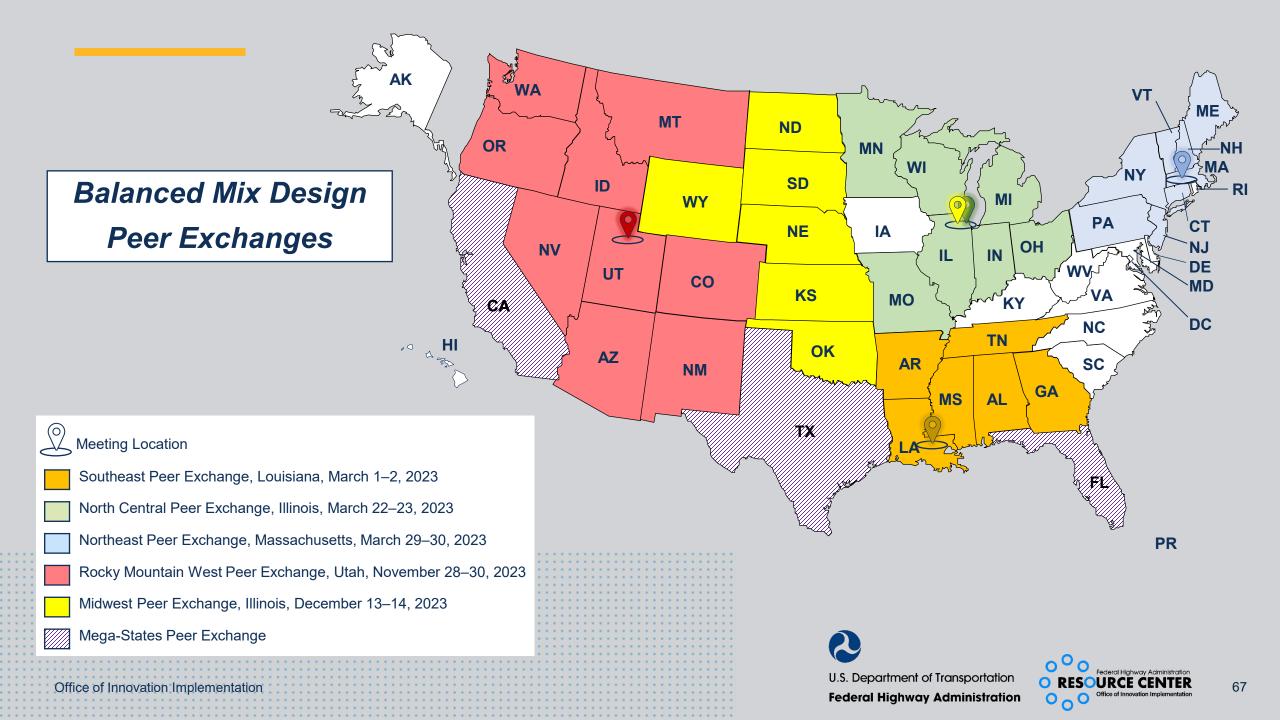


#### **Chapter 8. Initial Implementation**

- Lessons learned from Pilot Projects
- Make sure all stakeholders are informed
- Feedback loop to allow the program to continue to evolve.







### Critical Challenges for BMD

Its more than just technical items! Management Challenges



#### Technical Challenges



U.S. Department of Transportation Federal Highway Administration



#### Management Challenges

- Change Management.
- Cost-Benefit Analysis
- Specifications & Risk Management.
- Resource Allocation.
- Implementation Planning.
- Stakeholders
  - Engagement

 Integration with Existing Practices.

- Education, Training, & Skill Development.
- Information Sharing & Collaboration Among Peers

#### Technical Challenges

- BMD Tests Validation
- Testing Procedures & Protocols
- Variabilities
- Database Setup, Collection, Analysis, & Management.
- Pathway for Use in Field Quality Assurance (QA).
- Volumetrics Historical Usage

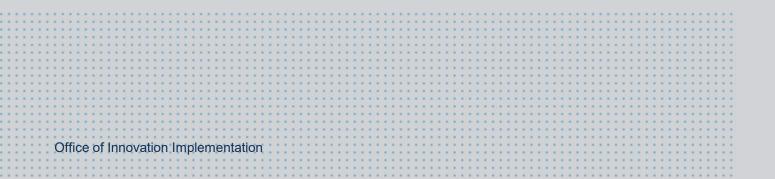


### **Be Mindful that...**

- Not all states are experiencing every challenge listed.
- All raised challenges are listed, even if only mentioned by few states.

#### Two present statuses for the challenges:

- 1. The path forward has been identified and implemented.
- 2. Ongoing efforts are in progress to address and find solutions.







### **Be Mindful that...**

## Similar challenges are heard from contractors.

- Implementation requires resources.
- Resistance to change.
- BMD tests may not be able to fully replace current acceptance testing.
- Variability in BMD tests results.



Source: NAPA



Office of Innovation Implementation

-tc

## /// Improving Imperfect Tests



- IDEAL-CT, for example
  - Quick and low cost, but...
  - Sensitive to preparation techniques
    - Increases variability of results
  - The effect of specimen air voids is counterintuitive
    - eliminates using cores for analysis
    - creates issues when in-place density target ≠ 93.0% of G<sub>mm</sub>



# Understanding differences between results of lab-prepared and plant-produced mixtures





- What are the causes of differences between lab-produced and plant-produced mixtures?
  - Does short-term lab conditioning = plant mix produced at WMA temps., with & without silo storage + haul time?
  - What is the impact of mix reheating?

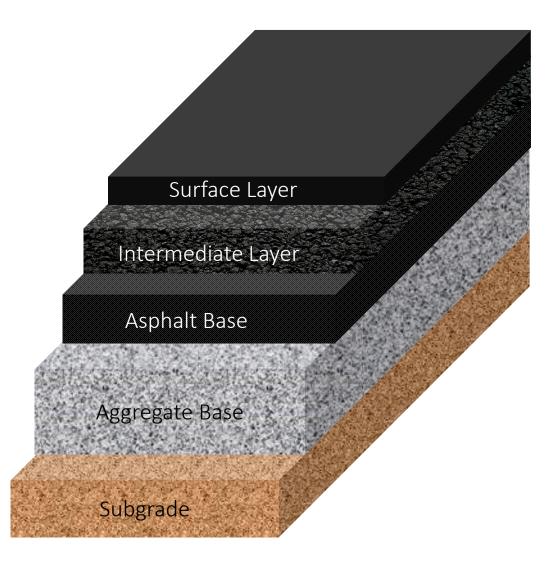


### Asphalt Mixture Aging

- lab accelerated aging methods
  - simulation of how many years?
  - time, temp., press.
  - practicality for mix design and QA
  - potential for automation
- aging susceptible binders and additives
- aging resistant additives



### **BMD** Criteria for Different Applications



- Criteria for different asphalt layers
- Criteria for different loading conditions
  - traffic categories
  - intersections
  - airports
  - parking lots

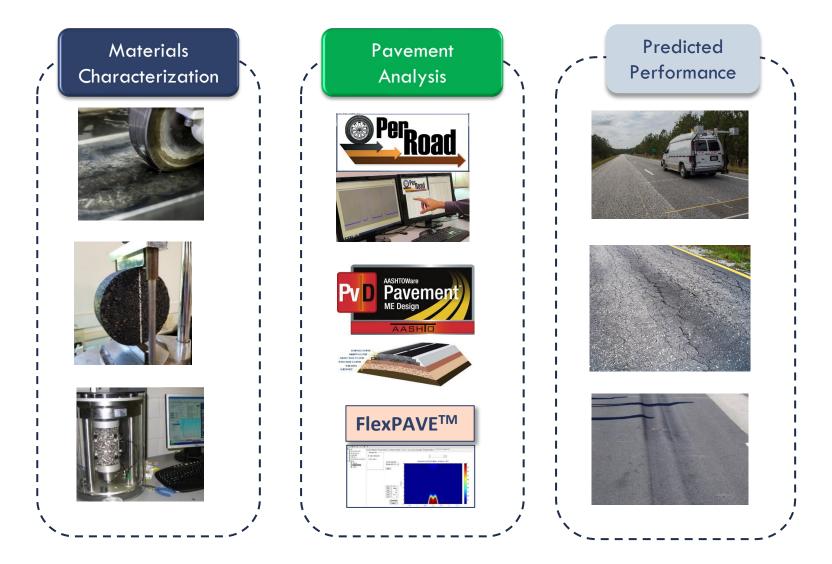


### Using BMD Tests in Quality Assurance

Acceptance Quality Characteristics (AQCs) should be:



#### Connecting Mix Design & QA Properties to Pavement Design



Can we connect BMD indices to engineering properties used for pavement design and analysis?



A Vision for Net Zero Carbon Emissions for the Asphalt Pavement Industry

#### **Strategies Toward Net-Zero**

- Design and build longer life pavements
- Maximize the use of local materials
- Increase recycled materials contents
- Reduce mix temperatures
- Develop...
  - Alternative, bio-based binders
  - Aging-resistant additives
  - Carbon-negative additives
  - Low rolling resistant mixtures and pavements

# The Road and A Vision for Not Zara Carbon Emissions

A Vision for Net Zero Carbon Emissions for the Asphalt Pavement Industry

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Gen Z is more concerned about climate change than most of us are



## Thank You

National Center for Asphalt Technology NCAT AT AUBURN UNIVERSITY Distinguished Lecture Series Arizona Pavements & Materials Conference

#### Asphalt Mix Design of the Future

- Future methods for evaluating innovative materials
- State-of-the-art balanced mix design (BMD) for optimizing asphalt mixtures
- Cost, sustainability, and performance goals of owner agencies
- Gaps in current BMD initiatives
- Implementation roadmap for industry and agencies
- Follow-up panel discussion on November 21 at Arizona Pavements & Materials Conference
- Visitor parking available at Fulton Center parking



Wilcan South

Asphalt

Presented by:

#### Randy West, Ph.D., P.E.

Director and Research Professor National Center for Asphalt Technology Auburn University

September 17, 2024 | 9:00-11:00 AM MST

Devil's Oasis, 2nd Floor, Paul C. Helmick Center Building , Arizona State University. 660 S. College Ave. Commons, Tempe, AZ 85281